



Sandlapper Securities, LLC

Business Continuity Plan

Disclosure Statement

Sandlapper Securities, LLC has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do at (864) 679-4701, you should call our alternative number (800) 577-4842. If you cannot access us through either of those means, you should contact our third party that sponsors your investments through us. Their toll free phone numbers are shown on your statements you receive periodically from them.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communication with customers, employees and regulators; alternative physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers’ prompt access to their funds and securities if we are unable to continue our business.

Our escrow agents, or other third parties, backup our important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of disruption, our escrow agents, or their third parties have advised us that their objective is to restore its own operations and be able to

complete existing transactions and accept new transactions and payments as soon as possible. Your orders and requests for funds and securities could be delayed during this period.

Varying Disruption – Signification business disruptions can vary in scope such as only our firm, a single building housing firm, the business district where our firm is located, the city where we are located or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business as soon as possible. In a disruption affecting our business district, city or region, we will transfer our operations to a site outside of the affected area, and recover and resume business as soon as possible. In either situation, we plan to continue in business, transfer operations to our escrow agents or other third parties if necessary, and notify you; or you may call our emergency contact number (800) 577-4842 on how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customers' prompt access to their funds and securities.

For More Information – If you have questions about our business continuity planning, you can contact us at (864) 679-4701.